

Format of holding of specified securities

- | | | |
|---|---|-----------------------|
| 1 | Name of Listed Entity: | CEAT LIMITED |
| 2 | Scrip Code/Name of Scrip/Class of Security | 500878/CEATLTD/EQUITY |
| 3 | Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) | Reg. 31(1)(b) |
| | a. If under 31(1)(b) then indicate the report for Quarter ending : | 30th Sep,2020 |
| | b. If under 31(1)(c) then indicate date of allotment/extinguishment | N.A |

- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

CEAT LIMITED
Table I - Summary Statement holding of specified securities

(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)					
								No of Voting Rights *					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held				
								Class eg: X	Class eg:y	Total									(a)	(b)	(a)	(b)
(A)	Promoter & Promoter Group	26	1,89,40,298	0	0	1,89,40,298	46.82	1,89,40,298	0	1,89,40,298	46.82	0	46.69	0	0.00	0	0.00	1,89,40,298				
(B)	Public	77,752	2,15,09,794	0	0	2,15,09,794	53.18	2,15,09,794	0	2,15,09,794	53.18	0	53.31	0	0.00	NA		2,10,72,367				
(C)	Non Promoter- Non Public		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0				
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0				
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0				
	Total	77778	4,04,50,092	0	0	4,04,50,092	100.00	4,04,50,092	0.00	4,04,50,092	100.00	0	100.00	0	0.00	0	0.00	4,00,12,665				

*the Company has only one class of shares

CEAT LIMITED

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form											
								(I)	(II)	(III)			(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)		As a % of (A)+(B)+(C) (VIII)	(IX)			(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		
																			No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No.	As a % of total shares held
																			Class X	Class Y	Total							
(1)	Indian																											
(a)	Individuals/Hindu undivided Family	5	1,48,137	0	0	1,48,137	0.37	1,48,137	0	1,48,137	0.37	0	0.00	0	0.00	0	0.00	1,48,137										
i	Anant VardhanGoenka	1	14,185	0	0	14,185	0.04	14,185	0	14,185	0.04	0	0.00	0	0.00	0	0.00	14,185										
ii	Harsh Vardhan Goenka	1	1,23,799	0	0	1,23,799	0.31	1,23,799	0	1,23,799	0.31	0	0.00	0	0.00	0	0.00	1,23,799										
iii	RADHA ANANT GOENKA	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10										
iv	HARSHVARDHAN RAMPRASAD GOENKA	1	10,133	0	0	10,133	0.03	10,133	0	10,133	0.03	0	0.00	0	0.00	0	0.00	10,133										
v	MALA GOENKA	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10										
(b)	Central Government/ State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0										
(c)	Financial Institutions/ Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0										
(d)	Any Other (specify)	21	1,87,92,161	0	0	1,87,92,161	46.46	1,87,92,161	0	1,87,92,161	46.46	0	0.00	0	0.00	0	0.00	1,87,92,161										
(d-1)	Bodies Corporate																											
i	Instant Holdings Limited	1	1,18,53,559	0	0	1,18,53,559	29.30	1,18,53,559	0	1,18,53,559	29.30	0	0.00	0	0.00	0	0.00	1,18,53,559										
ii	Swallow Associates LLP	1	44,84,624	0	0	44,84,624	11.09	44,84,624	0	44,84,624	11.09	0	0.00	0	0.00	0	0.00	44,84,624										
iii	STEL Holdings Limited	1	14,16,757	0	0	14,16,757	3.50	14,16,757	0	14,16,757	3.50	0	0.00	0	0.00	0	0.00	14,16,757										
iv	Summit Securities Ltd	1	10,36,248	0	0	10,36,248	2.56	10,36,248	0	10,36,248	2.56	0	0.00	0	0.00	0	0.00	10,36,248										
v	Chattarpati Apartments LLP	1	876	0	0	876	0.00	876	0	876	0.00	0	0.00	0	0.00	0	0.00	876										
vi	EKTARA ENTERPRISES LLP	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10										
vii	VAYU UDAAN AIRCRAFT LLP	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10										
viii	SOFREAL MERCANTRADE PVT LTD	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10										
ix	MALABAR COASTAL HOLDINGS LLP	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10										
x	ATLANTUS DWELLINGS AND INFRASTRUCTURE LLP	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10										
xi	SUDARSHAN ELECTRONICS AND TV LTD	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1										
(d-2)	Trust																											
i	Harsh Vardhan Goenka (in the Capacity of Trustee of Stellar Energy Trust)	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1										
ii	Harsh Vardhan Goenka (in the Capacity of Trustee of Nucleus Life Trust)	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1										
iii	Harsh Vardhan Goenka (in the Capacity of Trustee of Crystal India Tech Trust)	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1										
iv	Harsh Vardhan Goenka (in the Capacity of Trustee of Monitor Portfolio Trust)	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1										
v	Harsh Vardhan Goenka (in the Capacity of Trustee of Secura India Trust)	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1										
vi	Harsh Vardhan Goenka (in the Capacity of Trustee of Prism Estates Trust)	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1										
vii	Anant Vardhan Goenka (in the Capacity of Trustee of AVG Family Trust)	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10										
viii	Anant Vardhan Goenka (in the Capacity of Trustee of RG Family Trust)	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10										
ix	Harsh Vardhan Goenka (in the Capacity of Trustee of Navya Goenka Trust)	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10										
x	Harsh Vardhan Goenka (in the Capacity of Trustee of Ishann Goenka Trust)	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10										
	Sub-Total (A)(1)	26	1,89,40,298	0	0	1,89,40,298	46.82	1,89,40,298	0	1,89,40,298	46.82	0	0.00	0	0.00	0	0.00	1,89,40,298										
(2)	Foreign																											
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0										
(b)	Government Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0										
(c)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0										
(f)	Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0										
(f-1)	Bodies Corporate	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0										
	Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0										
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	26	1,89,40,298	0	0	1,89,40,298	46.82	1,89,40,298	0	1,89,40,298	46.82	0	0.00	0	0.00	0	0.00	1,89,40,298										

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- PAN would not be displayed on website of Stock Exchange(s).
- The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

CEAT Limited
Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities		No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								(IX)	(X)			(XII)	(XIII)	(XIV)		
	(I)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	No of Voting Rights	Total as a % of Total Voting rights		(XI) = (VII)+(X) as a % of A+B+C2	No.	As a % of total Shares held	No. (not applicable)	As a % of total shares held (Not applicable)	
(1)	Institutions															
(a)	Mutual Funds/UTI	15	45,96,892	0	0	45,96,892	11.36	45,96,892	0	45,96,892	11.36	0	0.00	NA		45,87,694
(a-i)	MIRAE ASSET EMERGING BLUECHIP FUND	1	31,16,369	0	0	31,16,369	7.70	31,16,369	0	31,16,369	7.70	0	0.00	NA		31,16,369
(a-ii)	TATA BALANCED ADVANTAGE FUND	1	9,80,986	0	0	9,80,986	2.43	9,80,986	0	9,80,986	2.43	0	0.00	NA		9,80,986
(a-iii)	PRINCIPAL MIDCAP FUND	1	4,29,929	0	0	4,29,929	0.00	0	0	0	0.00	0	0.00	NA		4,29,929
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0
(c)	Alternate Investment Funds	3	1,00,194	0	0	1,00,194	0.25	1,00,194	0	1,00,194	0.25	0	0.00	NA		1,00,194
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0
(e)	Foreign Portfolio Investors	87	95,83,096	0	0	95,83,096	23.69	95,83,096	0	95,83,096	23.69	0	0.00	NA		95,80,660
(e-i)	AMANSA HOLDINGS PRIVATE LIMITED	1	37,59,934	0	0	37,59,934	9.30	37,59,934	0	37,59,934	9.30	0	0.00	NA		37,59,934
(e-ii)	INDIA OPPORTUNITIES GROWTH FUND LTD - PINEWOOD STRATEGY	1	17,82,098	0	0	17,82,098	4.41	17,82,098	0	17,82,098	4.41	0	0.00	NA		17,82,098
(e-iii)	MIRAE ASSET INDIA MID CAP EQUITY FUND	1	5,08,329	0	0	5,08,329	1.26	5,08,329	0	5,08,329	1.26	0	0.00	NA		5,08,329
(e-iv)	CRESTWOOD CAPITAL MASTER FUND, LTD.	1	5,00,000	0	0	5,00,000	1.24	5,00,000	0	5,00,000	1.24	0	0.00	NA		5,00,000
(f)	Financial Institutions/ Banks	35	5,503	0	0	5,503	0.01	5,503	0	5,503	0.01	0	0.00	NA		2,129
(g)	Insurance Companies	5	18,39,320	0	0	18,39,320	4.55	18,39,320	0	18,39,320	4.55	0	0.00	NA		18,39,245
(g-i)	THE NEW INDIA ASSURANCE COMPANY LIMITED	1	8,45,342	0	0	8,45,342	2.09	8,45,342	0	8,45,342	2.09	0	0.00	NA		8,45,342
(g-ii)	TATA AIA LIFE INSURANCE CO LTD-SELECT EQUITY FUND-ULIF 024 06/10/08 TSE 110	1	6,40,299	0	0	6,40,299	1.58	6,40,299	0	6,40,299	1.58	0	0.00	NA		6,40,299
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0
(i)	Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0
	Sub-Total (B)(1)	145	1,61,25,005	0	0	1,61,25,005	39.86	1,61,25,005	0	1,61,25,005	39.86	0	0.00	NA		1,61,09,922
(2)	Central Government/ State Government(s)/ President of India	1	67	0	0	67	0.00	67	0	67	0.00	0	0.00	NA		0
	Sub-Total (B)(2)	1	67	0	0	67	0.00	67	0	67	0.00	0	0.00	NA		0
(3)	Non-institutions															
(a)	Individuals	74,863	42,68,298	0	0	42,68,298	10.55	42,68,298	0	42,68,298	10.55	0	0.00	NA		38,58,871
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	74,862	41,68,298	0	0	41,68,298	10.30	41,68,298	0	41,68,298	10.30	0	0.00	NA		37,58,871
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	1	1,00,000	0	0	1,00,000	0.25	1,00,000	0	1,00,000	0.25	0	0.00	NA		1,00,000
(b)	NBFCs registered with RBI	1	200	0	0	200	0.00	200	0	200	0.00	0	0.00	NA		200
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0
(e)	Any Other (specify)	2,742	11,16,224	0	0	11,16,224	2.76	11,16,224	0	11,16,224	2.76	0	0.00	NA		11,03,374
i	Bodies Corporate	273	1,48,069	0	0	1,48,069	0.37	1,48,069	0	1,48,069	0.37	0	0.00	NA		1,35,300
ii	Clearing Members	256	1,79,959	0	0	1,79,959	0.44	1,79,959	0	1,79,959	0.44	0	0.00	NA		1,79,959
iii	Director or Director's Relatives	2	4,207	0	0	4,207	0.01	4,207	0	4,207	0.01	0	0.00	NA		4,207
iv	HUF	1,040	1,21,901	0	0	1,21,901	0.30	1,21,901	0	1,21,901	0.30	0	0.00	NA		1,21,901
v	IEPF	1	2,54,474	0	0	2,54,474	0.63	2,54,474	0	2,54,474	0.63	0	0.00	NA		2,54,474
vi	LLP	10	1,51,448	0	0	1,51,448	0.37	1,51,448	0	1,51,448	0.37	0	0.00	NA		1,51,448
vii	Non-Resident Indian (NRI)	1,153	2,40,417	0	0	2,40,417	0.59	2,40,417	0	2,40,417	0.59	0	0.00	NA		2,40,373
viii	Overseas corporate bodies	1	37	0	0	37	0.00	37	0	37	0.00	0	0.00	NA		0
x	Trust	5	3,006	0	0	3,006	0.01	3,006	0	3,006	0.01	0	0.00	NA		3,006
xi	Unclaimed or Suspense or Escrow Account	1	12,706	0	0	12,706	0.03	12,706	0	12,706	0.03	0	0.00	NA		12,706
xii	Others	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0
	Sub-Total (B)(3)	77,606	53,84,722	0	0	53,84,722	13.31	53,84,722	0	53,84,722	13.31	0	0.00	NA		49,62,445
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	77,752	2,15,09,794	0	0	2,15,09,794	53.18	2,15,09,794	0	2,15,09,794	53.18	0	0.00	NA		2,10,72,367

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Shares which remain unclaimed has been transferred to CEAT Limited-Unclaimed Securities Suspense Account (12024300-00080409) and(ZVCO007053) as on Sept 30, 2020, 12706 outstanding shares. The voting rights on these shares shall remain frozen till the rightful owner of such shares claims the shares.

During the quarter ended Sept 30,2020, the Company has transferred _ shares pertaining to _ records to Investor Education and Protection Fund Authority, in accordance with Section 124 (6) of the Companies Act, 2013 and rule made thereunder.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(*) Shareholding has been reported based on Common PAN irrespective of the Sub-Accounts